

#5 T.D. PATENT 04/05/04

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 109779.129)

Applicant(s):

Newnam, et al.

Serial No.:

09/804,815

Examiner: Not Yet Assigned

Filed:

March 13, 2001

Group Art Unit: 2152

For:

SYSTEM AND METHOD FOR OPERATING INTERNET-BASED

**EVENTS** 

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450 **RECEIVED** 

MAR 3 0 2004

Technology Center 2100

### CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.

314604

Date

Sarah L. Farris

# FOURTH INFORMATION DISCLOSURE STATEMENT

#### Commissioner:

Applicants and their attorney are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submits these publications for the Examiner's consideration. Pursuant to 37 C.F.R. §1.97, Applicant hereby makes of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are enclosed.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

09/804,815 Newnam, et al.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: 3-26-04

Michael A. Diener

Registration No. 37,122 Attorney for Applicant

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Tel: (617) 526-6454 Fax: (617) 526-5000

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Subt. Form PTO-1449

INFORMATION DISCLOSURE
IN AN APPLICATION

(Use several sheets if necessary)

Filing Date

Group Art Unit

March 13, 2001

Application Number 109779.129

Application Number 1097804,815

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,006,256	12/21/99	Zdepski	709	217	
	US 2001/0001160	5/10/01	Shoff, et al.	725	51	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO
	00/78043	12/21/00	wo				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.

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MAR 3 0 2004

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EXAMINER	DATE CONSIDERED	•

**EXAMINER:** Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.

PATENTS



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3124/04

Date

Sarah L. Farris

## TRANSMITTAL LETTER

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Commissioner:

MAR 3 0 2004

Enclosed for filing are the following documents:

**Technology Center 2100** 

- 1.) Fourth Information Disclosure Statement;
- 2.) Form PTO-1449, including (3) documents; and
- 3.) Copy of Annex to Form 206 Partial International Search; and
- 4.) Return Postcard.

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. <u>08-0219</u>.

Respectfully submitted,

Date: 3 · 26 - 04

Michael A. Diener Reg. No. 37,122

Attorney for Applicants

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